

CATHERINE HOGAN

Actor, Producer

(917) 504-7918

DollyProductionsLLC@gmail.com

HIGHLIGHTS OF QUALIFICATIONS

- 20+ years as a New York State-licensed attorney
- 3 years as a film producer
- 17 years at FINRA; experience with SEC, FINRA, NASD, AML Rules, including corporate finance for film financing
- Excellent time management skills with the ability to adhere to tight timelines and deadlines

PRODUCING EXPERIENCE

Dolly Productions, LLC | New York, NY | February 2016 — Present

Owner

- Executive-producer on short film IN BETWEEN DAYS that finished in top percentile in timed film competition: cast the actors; hire the crew; establish shooting schedule; arrange transportation; arrange craft services; complete all SAG-AFTRA and film competition documentation; create and monitor budget
- Producer on web series SUCH IS LIFE (currently in production): communicate with SAG-AFTRA; complete SAG-AFTRA documentation; draft location releases; draft actor contracts and crew deal memos; secure insurance; advise producing team on various matters
- Associate producer, script supervisor on feature film THE PRICE FOR SILENCE (starring Richard Thomas): draft contracts for use of artwork; communicate with SAG-AFTRA; advise senior producers on SAG-AFTRA matters, documentation, and crew issues; coordinate continuity and script accuracy
- Associate producer on short film AERIS: draft location releases; complete SAG-AFTRA documentation; arrange transportation; on-set support
- Director on short film POTSHOT
- Primary writer for television and film, focusing on narrative fiction and creative works

LEGAL EXPERIENCE

FINRA | New York, NY | 1998 — 2016

Principal Examiner (2003 – 2016)

- Conduct regulatory and compliance reviews of brokerage firms
- Read and analyze companies' proposals, business plans, AML audit plans, bank documents, and legal documents not limited to contracts, purchase agreements, and corporate and legal formation documentation; write report and recommendation for senior management

FINRA Assistant Director of Administration (2002 – 2003)

- Write memoranda to senior management regarding operations, HR, Code of Conduct
- Develop and refine operational procedures for 210 persons in two offices

FINRA Training Specialist (2000 – 2002)

- Responsible for training employees required to update skills with in-house software
- Develop and design curriculum for various software-related trainings; conduct needs analysis

FINRA Associate Examiner (1998 – 2000)

- Similar duties as above as Principal Examiner from 2003 – 2016

ADDITIONAL LEGAL EXPERIENCE | White Plains, NY | 1994 — Aug. 1998

- John Gifford Molloy, P.C. May 1998 – Aug. 1998
Litigator in general practice/negligence firm; depositions, court appearances in NYS Supreme Court
- Self-employed Oct. 1996 – Jan. 1997
Certified Court Evaluator for Article 81 guardianships; served as *guardian ad litem* in various Surrogate's Court matters
- Warren Whitfield Wells, P.C. Dec. 1994 – Oct. 1996
Depositions; trial experience in NYS Supreme Court and Surrogate's court; draft pleadings and documents; legal research and writing; interview clients; real estate closings; will drafting; probate administration

EDUCATION

Capital University Law School | Columbus, OH

Juris Doctor

Rochester Institute of Technology | Rochester, NY

Bachelor of Science

PROFESSIONAL AFFILIATIONS

- New York State-licensed attorney
- SAG-AFTRA member